



ACCOUNT CONTROLLER IDENTIFICATION REQUEST

Please complete the account controller information below. It is imperative that you provide this information to Cunningham Commodities upon opening an account to ensure that Cunningham and its customers remain in compliance with CFTC regulations.

Each ACCOUNT OWNER must identify a natural person who controls the trading in the owner’s account. List EACH PERSON who controls trading in the account. This must be a natural person (not an entity*) who is primarily responsible for the control of trading in an account or accounts. This may be the account owner himself/herself or it may be an employee of a legal entity account owner. There may be more than one controller. If so, please complete this form for each natural person controller. Please indicate which controller is the primary trader by entering a “P” next to his/her name. All applicable information must be provided. Clearly identify information that is not applicable (“n/a”).

This form is not required if a Limited Power of Attorney form was completed for this account.

*Example: John Smith (natural person) NOT Smith Enterprises, Inc. (an entity)

ACCOUNT OWNER INFORMATION

Account Number(s): _____

Owner’s Name: _____

(owner may be a natural person or an entity)*

ACCOUNT CONTROLLER INFORMATION

Controller’s Name: _____

(must be a natural person, not an entity)*

Controller’s Complete Address: _____

(address where trading is conducted)

Controller’s Date of Birth: _____ Controller’s Direct Phone: _____

Controller’s Email Address: _____

Controller’s Relationship to the Account Owner: _____

Controller’s NFA ID number (if any): _____

Controller’s Employer: _____ Job Title: _____

Employer’s NFA ID number (if any): _____

Employer’s Legal Identifier (“LEI”) Code (if any) _____

IMPORTANT: A valid photo ID must be provided for each natural person account controller.